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Date: March 26, 2003

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GROUP 3600

Maria Zita Chua
Maria Zita A. Chua

PATENT
401,720-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicants: CHIH-WEI HUANG | |
| Serial No.: 09/970,736 | Art Unit: 2164 |
| Filed: October 3, 2001 | Examiner: |
| Title: SYSTEM AND METHOD FOR MEASURING PERFORMANCE OF TRADING INSTRUMENTS WITHIN A MARKET | |

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

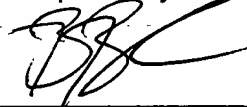
Sir:

In accordance with 37 C.F.R. § 1.56, Applicant submits herewith the attached Information Disclosure Statement Form PTO-1449 in connection with the above-identified patent application along with a copy of each reference (3). The enclosed references were cited in an International Search Report (copy enclosed) in the co-pending PCT application. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

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Page 2

Applicant respectfully submits that no fee is necessary for the filing of this information disclosure statement, pursuant to 37 C.F.R. § 1.97(b). Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-0639 for any fee shortages.

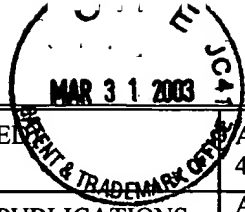
Respectfully submitted,



Brian M. Berliner
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Date: March 26, 2003

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| FORM PTO-1449 (MODIFIED) | ATTY. DOCKET NO. 401,720-001 | SERIAL NO. 09/970,736 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY) | APPLICANT: Chih-Wei HUANG | |
| | FILING DATE: October 3, 2001 | GROUP 3600 |

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Reference Designation U.S. Patents

| Examiner Initial | Document Number | Date | Name | Class | Filing Date Subclass if appropriate |
|------------------|-----------------|----------|------------------|-------|-------------------------------------|
| | AA 5,946,667 | 08/31/99 | Tull, Jr. et al. | | |
| | AB 6,192,347 | 02/20/01 | Graff | | |
| | AC 5,887,243 | 03/23/99 | Harvey et al. | | |
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FOREIGN PATENT DOCUMENTS

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OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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EXAMINER

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.